

CFI UPDATE

November 1, 2016

TO: EB, RMF, DBW
CC: JEH, JHJ
FR: MWH, TCD, RB, CMc

Enclosed

- Financial Statements as of September 30, 2016
- Expense Detail by Account as of September 30, 2016
- CFI's Preliminary Balance Sheet as of October 31, 2016
- Draft of Board of Directors meeting minutes of 8-25-16
- Draft of Current Grant Committee meeting minutes of 9-13-16
- Draft of Current Grant Committee meeting minutes of 10-7-16

Financials for the period ending September 30, 2016. The Financial Statements show the Balance Sheet, as of September 30, 2016, with a market value of \$163,314,420 DOWN \$851,446, or (0.52)% from December 31, 2015. Total return on Investments for the period Nine Months ending September 30, 2016 is UP \$6,327,297, or 3.85%. Equity/Fixed Ratio was 69.68/30.32%. TCD

ENTREPRENEURSHIP

Grant Program Partner Enters Entrepreneurship Hall of Fame . . . On Thursday, October 13, 2016, Jean Kroll, founder of Sugar and Spice Bakery, was among a class of twenty business owners inducted into the Chicago Area Entrepreneurship Hall of Fame. Kroll was recognized for her 17 years of success in crafting an artisan product -- indulgent, classic sweet treats with thoughtfully sourced ingredients for clients in the foodservice and retail channels.

Kroll was also celebrated for her passion for supporting and mentoring aspiring entrepreneurs. Most recently, Jean has expanded her focus to the inclusion of young adults with disabilities in the workplace. As part of a CFI grant-funded program involving Have Dreams, a local autism resource organization, Jean runs a workplace training program for young adults with autism. She employs two of the program's graduates in her bakery and is demonstrating that these talented individuals can add real economic value to a business.

Also inducted were Jeff and Tony Dreyfuss, co-founders of Metropolis Coffee, a small batch artisan coffee roaster which has partnered with CFI grantee Aspire to establish Aspire CoffeeWorks where individuals with intellectual and developmental disabilities work alongside Metropolis employees with 100% of net proceeds of sales supporting Aspire services. (CMc)

This was the 31st induction ceremony celebrating Chicago Entrepreneurs. It was originally started by Gerry Hills. In earlier years the Foundation was a regular supporter and participant. John Hughes was an inaugural inductee. We often provided program funding and purchased tables to gather professors, students and entrepreneurs. This year we invited a range of local programs. Accepting were: DePaul University, IncubatorEDU, and Future Founders. We were also pleased to be on hand to support Jean Kroll's induction. (MWH)

Future Founders Start-up . . . You will recall that one of the goals of the Future Founders College program START-UP is to bring together Chicago's entrepreneurial universities and engage top entrepreneurial student and faculty leaders. They recently hosted a Kick off with the theme "Sink or Swim: Think Big." The event which brought together students, faculty and entrepreneurs from a variety of disciplines and schools, started with an interactive panel of young entrepreneurs - product, tech, and social entrepreneurs - who have all been on Shark

Tank, who shared their experiences as a student entrepreneurs. Attendees then broke into small groups to meet and build a big idea as a team. The program concluded with each school giving a mini-pitch to promote itself and 1 upcoming event open to the community. Informal networking followed. (*Note There is great interest in interdisciplinary/cross campus activity. The Fellows program and the active E-ship Centers are proving to be great vehicles to engage faculty and drive entrepreneurship beyond the business school*) (MWH)

DePaul . . . Since taking their roles as Executive Director and Assistant Director, Bruce Leech and Abigail Ingraham of the Coleman Entrepreneurship Center (CEC) have been conducting an aggressive cross campus outreach to non-business faculty members, Deans, Department Chairs and various university marketing and community outreach units to form a Campus Advisory Team for Entrepreneurship. The Team has about 40 faculty representatives from 9 academic departments, 5 administrative offices and 2 centers. On 10/18, the CEC held its first Advisory team meeting. More 30 representatives from across the campus attended to learn about CEC programming and to further shared interest and collaboration. (MWH)

Bruce and Abigail also presented at a quarterly meeting between the Provost and University Deans. The presentation was very well received. The goal was to make the non-business school Deans much more aware of the CEC and show the support of the upper administration. Next step is actively engage those Deans in promoting and financially supporting CEC programming that benefits the students in those colleges (MWH)

DISABILITY SERVICES

Residential Renewal Initiative . . . As you are aware, we have funded Designs for Dignity to staff and oversee six teams of pro bono designers and contractors which are working with six of our I/DD grantees to renovate group homes. Teams have been formed and initial project scoping is taking place. We expect to receive budgets for the six projects next month and make funding decisions relating to the six initiatives. Kitchen upgrades, new flooring and other cosmetic improvements are heading the list of activities. Design for Dignity volunteers are bringing not just design skills but relationships with suppliers which is generating a meaningful amount of product donation, enabling greater project scope in some cases. (CMc)

GRANT PROGRAM HIGHLIGHT: New Futures Initiative™ Investment Creates Tools for Alternative Housing Solutions (*published on CFI Blog on October 3, 2016*)

Center for Independent Futures (CIF) is an Evanston, Illinois, not-for-profit organization dedicated to a vision of the future where individuals with disabilities have access to all opportunities of a full life. Having a place to call home is a critical component of this vision. At a time when government housing is at capacity and funding is scarce, it is difficult to find appropriate, affordable housing for individuals with disabilities.

CIF's New Futures Initiative™ guides families on the paths toward housing solutions. Two CFI grants enabled CIF to expand and modify its tools and resources, refine its training and consultation approach, provide more families access to its process, and engage Community Partners and other stakeholders in creating new solutions.

Core Activities

Seven family groups seeking housing solutions for their loved ones were established in the city of Chicago and suburbs. Each group participated in training workshops, completed tasks between workshops, and consulted with CIF trainers.

Train the Trainer workshops were designed and conducted to give family group leaders and selected agency staff the skills and tools needed to provide ongoing leadership and support to families working toward their goals. A key objective of the project was to expose a variety of stakeholders to CIF's alternative housing solutions and process and to engage Community Partners in the initiative. Activities in this area included creating a Community Partner Packet to encourage participation and gathering individuals, families, service providers, housing partners, and other community leaders for education, discussion, and cross-sector collaboration.

Outcomes

Each of the 44 parents and three community support partners who completed the training gained new knowledge and skills. Family groups engaged in conversation with more potential Community Partners for support services and housing. Family groups are currently in the process of refining and implementing the plans that they developed together.

Alternative Housing Options for Individuals with Disabilities: A Guide to Forming and Implementing Community Partnerships (spanish-language version) was developed. This free resource makes a portion of the content from CIF's New Futures Initiative™ training available to families who want to move forward with this process on their own. Four hundred copies, in English and Spanish, were printed and disseminated, and PDFs were made available on our website.

CIF presented at seven community organizations, advocacy groups, family groups, and service providers. Ten new potential housing and support partners were engaged in the project, and 100 people attended a one-day symposium. These activities fostered opportunities to share ideas and build relationships between individuals, families, and professionals who can provide leadership in creating alternative, community-based housing options for individuals with disabilities.

Lesson Learned: For family groups to be successful in the long run, they need early opportunities to lead the process, make decisions, build relationships and interdependence, and work together. The training and consultation format allowed families to establish their own processes, run their own meetings, and rely on each other. Their commitment to the project solidifies while the group establishes its own identity.

Lesson Learned: The largest stumbling block in the process is figuring out the support funding and provider for individuals with disabilities. The cost of support is the largest expense. Over twenty thousand individuals in Illinois are on a waiting list for funding, and for those who receive funds, the support is often inflexible and insufficient. Many families are not eligible for any government funds, and they cannot cover support costs on their own. To create the solutions they and their loved ones deserve, families need to come together to share assets and resources.

Lesson Learned: To address the uncertain, unsettling climate in Illinois with severe budget cuts, stakeholders need opportunities to connect with each other to advocate for flexible funding and new alternatives. The need for affordable, supported, community-based housing options for individuals with disabilities is great, and current funding streams are inadequate. The situation will only worsen as aging caregivers become incapable of providing family homes for their loved ones and the number of individuals with disabilities increases with the rise in diagnosis of individuals on the Autism spectrum. We must work on all fronts to bring individuals, families, public and private funders, service providers, and government officials together to collaborate and find effective ways to meet these challenges.

CANCER CARE

Rush - Primary Palliative Medicine Training Program – Phase 2 of Primary Palliative Medicine Training Program was August 2014 through August 2017. Phase 2 of the program continued to build and educate the palliative medicine workforce by training physicians and nurses and included social workers, chaplains and other members of the interdisciplinary team. The objectives of the training program were to:

- Improve the quality of palliative care services for patients and families with cancer
- Increase access to palliative care services at health care organizations in Chicago and outlying areas
- Support health care leadership in building and integrating palliative care services across existing systems
- Grow a supportive network of interdisciplinary palliative care providers across the Chicago area

The palliative medicine team has been collecting data to supportive these objectives. We will target sharing outcomes of these objectives at the March 2017 board meeting. In the meantime, the palliative care working group is brainstorming for a possible Phase 3 training with a stronger focus on bolstering palliative care at the

institutional level and with hospital leadership. We will discuss the concept at the March 2017 board meeting as well.

Coleman Supportive Oncology Initiative (CSOI) . . . We changed the title of this Initiative to Coleman Supportive Oncology Collaborative (CSOC) to better describe the collaboration of over 100 participants in the effort to improve supportive oncology. That title is being used to describe Cycle 2, which will be presented at the upcoming board meeting.

Along with the Center for Business Models in Healthcare (Christine & Julia), we take advantage of any synergies between the Supportive Oncology Collaborative and the Primary Palliative Medicine Training Program. One such synergy is the opportunity to build awareness and momentum through a combined communication strategy for supportive oncology and palliative medicine training. We learned of Amdur Spitz & Associates through the Survivorship Education Program for health care professionals, which was developed by NorthShore Health System, and funded by Coleman. Subsequently, we worked with Amdur Spitz & Associates on two Palliative Care video projects. We have been in conversation with Amdur Spitz & Associates to develop a communication strategy for the CSOC, and have hired them to build a communication plan. (The costs for the effort are included in the proposal summary for CSOC, Cycle 2, which is being presented at the board meeting.) The plan is titled "Building a Movement to Transform Cancer Care to Whole Person Care for People with Cancer". The aims of the communication strategy are:

- To build stakeholder engagement in CSOC advancements and accomplishment through institutional and industry media channels and the mainstream media.
- To lead and facilitate marketing, publication relations and institutional advancement staff at grantee sites to pursue communication opportunities around CSOC and report them to trustees and external audiences.
- To use CSOC thought leadership, third party endorsements, data results, and media coverage to grow and convene a network of funders interested in supportive oncology.

We attempted to work with another communication person several months ago. However, that did not work out as she developed health issues and became unavailable.

CURRENT GRANT SUMMARIES

Grants Approved

Grant # 5628 – University of Florida

To support attendance at the Experiential Classroom Workshop by six Coleman Foundation Faculty Entrepreneurship Fellows.

Grant # 5629 - Northwestern University Law School – \$25,000 to support activities of the Entrepreneurship Law Clinic. Activities include office hours for shared work spaces, incubators, and accelerator programs; scholarships for the annual Entrepreneurship Law Conference; Educational Workshops on legal issues and Community Events for entrepreneurs and students. The workshops and events will be conducted by law school faculty and students and/or guest speakers from the entrepreneurship or legal community.

Grant # 5630 – University of Illinois at Chicago, IL – \$10,000 to support the 31st Annual Chicago Area Entrepreneurship Hall of Fame event. Funding supported the conduct of the event and allowed us to invite additional local stakeholders without cost to them.

Grants Amended

Grant # 5484 - University of Massachusetts at Amherst, MA

Grant amended from \$20,000 to \$12,770.23 for return of unexpended funds from 2015-16 Fellows Program.

Grant # 5511 – North Central College – \$84,000 was awarded (\$64,000 in direct support and \$20,000 in matching funds) for the 2015/2016 Self-Employment in the Arts (SEA) Program. SEA was challenged to match up to \$20,000. SEA raised \$15,300 for the match, thus the remaining grant amount of \$4,700 was rescinded.

Grant # 5595 - Canisius College, NY

Grant amended from \$25,000 to \$20,000 as one faculty member nominated for participation in 2016-17 Coleman Fellows Program is unable to participate due to illness.

MISCELLANEOUS

#ILGIVE for Giving Tuesday Matching Grants Program...As we approach Giving Tuesday, 53 organizations are participating in our matching grants effort, of which 9 are part of a research initiative run by economists at Texas A&M University and the University of Southern California. Additionally, 9 foundations are planning to provide some degree of support to their grantees for #ILGIVE which is a significant increase over last year. In all, we feel that momentum is building and this year's campaign should not only benefit our grantees but move the needle statewide towards #ILGIVE becoming a major, annual day of giving. (CMc)

Operations – We have been telling you for a while that we planned to replace some aged computer equipment. We purchased 5 laptops, for use by Mike, Clark, Rosa, Lisa and Trevor.